

**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No. **08228/020001**

2/14/03
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In Re Application Of: **Shiro SAKAI**

RECEIVED
FEB 06 2003
FEB 11 2003
TECHNOLOGY CENTER 2800
Examiner, et al
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Serial No.
10/056,611 ✓

Filing Date
01/24/2002

Thanh T. Nguyen

Group Art Unit
2813

Invention: **METHOD FOR MANUFACTURING GALLIUM NITRIDE COMPOUND SEMICONDUCTOR
ELEMENT AND GALLIUM NITRIDE COMPOUND SEMICONDUCTOR ELEMENT**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

Signature

Dated: **2/6/03**

J Nathan P. Osha, Reg. No. 33,986
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Telephone: 713-228-8600
Facsimile: 713-228-8778

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Typed or Printed Name of Person Mailing Correspondence

CC:

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)				Docket No. 08228-020001	
Applicant(s): Shiro SAKAI					
Serial No. 10/056,611	Filing Date 01/24/2002	FEB 11 2003 RECEIVED FEB 11 2003 EXAMINER Thanh T. Nguyen		Group Art Unit 2813	
Invention: METHOD FOR MANUFACTURING GALLIUM NITRIDE COMPOUND SEMICONDUCTOR ELEMENT AND GALLIUM NITRIDE COMPOUND SEMICONDUCTOR ELEMENT					
<p>I hereby certify that this <u>Statement Under 37 CFR 1.97(e) Accompanying Information Disclosure Statement</u> (Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C. 20231-0001 on <u>2/6/03</u> (Date)</p> <p><u>Denise Blocker</u> (Typed or Printed Name of Person Mailing Correspondence)</p> <p><u>Denise Blocker</u> (Signature of Person Mailing Correspondence)</p> <p><u>EV245366378US</u> ("Express Mail" Mailing Label Number)</p>					

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02-10-03

2813

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. 08228-020001
In Re Application Of: Shiro SAKAI			
Serial No. 10/056,611	Filing Date 01/24/2002	Examiner Thanh T. Nguyen	Group Art Unit 2813
Title: METHOD FOR MANUFACTURING GALLIUM NITRIDE COMPOUND SEMICONDUCTOR ELEMENT AND GALLIUM NITRIDE COMPOUND SEMICONDUCTOR ELEMENT			
<div style="text-align: center;"> <p>Address to:</p> <p>Assistant Commissioner for Patents</p> <p>Washington, D.C. 20231</p> </div> <div style="text-align: center; margin-top: 20px;"> <p>37 CFR 1.97(b)</p> <p>1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.</p> </div> <div style="text-align: center; margin-top: 20px;"> <p>37 CFR 1.97(c)</p> <p>2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:</p> <div style="margin-left: 40px;"> <p>1. a Final Action under 37 CFR 1.113, or</p> <p>2. a Notice of Allowance under 37 CFR 1.311,</p> </div> <p style="margin-left: 40px;">whichever occurs first.</p> </div> <div style="margin-top: 20px;"> <p>Also submitted herewith is:</p> <p><input checked="" type="checkbox"/> a certification as specified in 37 CFR 1.97(e);</p> <p style="text-align: center; margin: 10px 0;">OR</p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).</p> </div>			

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

(Under 37 CFR 1.97(b) or 1.97(c))

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Title: **METHOD FOR MANUFACTURING GALLIUM NITRIDE COMPOUND SEMICONDUCTOR
ELEMENT AND GALLIUM NITRIDE COMPOUND SEMICONDUCTOR ELEMENT**

Payment of Fee

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0591 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
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*This certificate may only be used if paying by deposit account.

Signature

Dated: 2/6/03

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CC:

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I hereby certify that this **Information Disclosure Statement and PTO Form 1449**

(Identify type of correspondence)

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37 CFR 1.10 in an envelope addressed to: The Commissioner of Patents and Trademarks, Washington, D.C.

20231-0001 on

2/6/03

(Date)

Denise Blocker

(Typed or Printed Name of Person Mailing Correspondence)



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